

Gates & Cooper LLP

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045

RECEIVED
CENTRAL FAX CENTER

OCT 22 2004

FAX TRANSMISSION TO USPTO

TO: Commissioner for Patents
Attn: **Examiner Not yet assigned**
Patent Examining Corps
Facsimile Center
Alexandria, VA 22313-1450

FROM: George H. Gates
OUR REF.: G&C 122.46-US-U1
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 6

PTO FAX NUMBER: (703) 872-9306

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

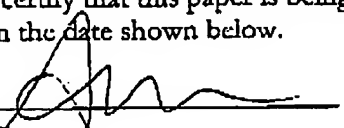
Title of Document Transmitted:	INFORMATION DISCLOSURE STATEMENT AND FORM PTO-1449.
Applicants:	Christopher W. Coldren et al.
Serial No.:	10/724,942
Filed:	December 1, 2003
Group Art Unit	2874
Title:	TRAVELING-WAVE OPTOELECTRONIC WAVELENGTH CONVERTER
Our Ref. No.:	G&C 122.46-US-U1

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

By: 

Name: George H. Gates
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature 

Date 10/22/04

GHG/sjm

G&C 122.46-US-U1

Confirmation No.: 3260

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Christopher W. Coldren et al.	Examiner:	Not yet assigned
Serial No.:	10/724,942	Group Art Unit:	2874
Filed:	December 1, 2003	Docket:	G&C 122.46-US-U1
Title:	TRAVELING-WAVE OPTOELECTRONIC WAVELENGTH CONVERTER		

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306 on October 22, 2004.

Signature: Typed Name of Person Mailing this Certificate: Suzie McCleave**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462**GATES & COOPER LLP**

Howard Hughes Center

6701 Center Drive West, Suite 1050

Los Angeles, CA 90045

(310) 641-8797

By: 

Name: George H. Gates

Reg. No.: 33,500

GHG/sjm

G&C 122.46-US-U1

Confirmation No.: 3260

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christopher W. Coldren et al. Examiner: Not yet assigned
Serial No.: 10/724,942 Group Art Unit: 2874
Filed: December 1, 2003 Docket: G&C 122.46-US-U1
Title: TRAVELING-WAVE OPTOELECTRONIC WAVELENGTH CONVERTER

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306 on October 22, 2004.

Signature: Typed Name of Person Mailing this Certificate: Suzie McCleave**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited Reference(s).

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

Customer Number 22462GATES & COOPER LLP

Howard Hughes Center

6701 Center Drive West, Suite 1050

Los Angeles, CA 90045

(310) 641-8797

By: 

Name: George H. Gates

Reg. No.: 33,500

GHG/sjm

G&C 122.46-US-U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christopher W. Coldren et al Examiner: Not yet assigned
Serial No.: 10/724,942 Group Art Unit: 2874
Filed: December 1, 2003 Docket: G&C 122.46-US-U1
Title: TRAVELING-WAVE OPTOELECTRONIC WAVELENGTH CONVERTER

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306 on October 22, 2004.

Signature: 

Typed Name of Person Mailing this Certificate: Suzie McCleave

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

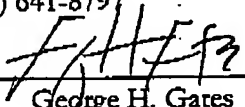
GATES & COOPER LLP
Attorneys for Applicant(s)

Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 22, 2004

GHG/sjm

By: _____


George H. Gates
Reg. No.: 33,500

Date Mailed: October 22, 2004

Sheet 1 of 1

Form 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Docket Number: G&C 122.46-US-U1	Application Number: 10/724,942
	Applicant: Christopher W. Coldren et al.	
	Filing Date: December 1, 2003	Group Art. Unit: 2874

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,349,106	02/19/02	Coldren			
	6,580,739	06/17/03	Coldren			
	6,574,256	06/03/03	Hofstetter et al.			

FOREIGN PATENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

NON-PATENT DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

G&C 122.46-US-U1